

ZERO FORCING NUMBER, MAXIMUM NULLITY, AND PATH COVER NUMBER OF SUBDIVIDED GRAPHS*

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1 **Abstract.** The zero forcing number, maximum nullity and path cover number of a (simple, undirected) graph
2 are parameters that are important in the study of minimum rank problems. We investigate the effects on these
3 graph parameters when an edge is subdivided to obtain a so-called edge subdivision graph. An open question raised
4 by Barrett et al. in “Minimum rank of edge subdivisions of graphs,” *Electronic Journal of Linear Algebra* (2009) 18:
5 530–563, is answered in the negative, and we provide additional evidence for an affirmative answer to another open
6 question in that paper. It is shown that there is an independent relationship between the change in maximum nullity
7 and zero forcing number caused by subdividing an edge once. Bounds on the effect of a single edge subdivision on
8 the path cover number are presented, conditions under which the path cover number is preserved are given, and it is
9 shown that the path cover number and the zero forcing number of a complete subdivision graph need not be equal.

10 **Keywords.** zero forcing number, maximum nullity, minimum rank, path cover number, edge
11 subdivision, matrix, multigraph, graph

12 **AMS subject classifications.** 05C50, 15A03, 15A18, 15B57

13 **1. Introduction.** Let F be any field. For a (simple, undirected) graph $G = (V, E)$ which
14 has vertex set $V = \{1, \dots, n\}$ and edge set E , $\mathcal{S}(F, G)$ is the set of all symmetric $n \times n$ matrices
15 A with entries from F such that for any non-diagonal entry a_{ij} in A , $a_{ij} \neq 0$ if and only if $ij \in E$.
16 The *minimum rank* of G is

$$17 \quad \text{mr}(F, G) = \min\{\text{rank } A : A \in \mathcal{S}(F, G)\},$$

18 and the *maximum nullity* of G is

$$19 \quad M(F, G) = \max\{\text{null } A : A \in \mathcal{S}(F, G)\}.$$

20 Note that $\text{mr}(F, G) + M(F, G) = |G|$, where $|G|$ is the number of vertices in G . Thus the problem
21 of finding the minimum rank of a given graph is equivalent to the problem of determining its
22 maximum nullity. The case where the field is the real numbers has been studied more extensively,
23 and we use $M(G)$ to denote $M(\mathbb{R}, G)$.

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24 We say that a graph $H = (V', E')$ is a *subgraph* of $G = (V, E)$ if $V' \subseteq V$ and $E' \subseteq E$. The
25 subgraph H is called an *induced subgraph* if for each $x, y \in V', xy \in E'$ if and only if $xy \in E$.
26 Denote by $G[X]$ the induced subgraph of G with vertex set $X \subseteq V$; $G - W$ is used to denote
27 $G[V \setminus W]$. The graph $G - \{v\}$ is also denoted by $G - v$. A graph G is the *union* of graphs
28 $G_i = (V_i, E_i)$, $1 \leq i \leq h$, if $G = (\cup_{i=1}^h V_i, \cup_{i=1}^h E_i)$. A vertex v of a connected graph G is a
29 *cut-vertex* if $G - v$ is disconnected. An edge e of a connected graph G is a *cut-edge* if $G - e$
30 is disconnected. The *rank spread* of G is $r_v(F, G) = \text{mr}(F, G) - \text{mr}(F, G - v)$. One technique in
31 computing minimum rank is by *cut-vertex reduction* (see, e.g., [7]) which is as follows: Suppose
32 that v is a cut-vertex of G . For $i = 1, \dots, h$, let $W_i \subseteq V(G)$ be the vertices of the i th component of
33 $G - v$ and let $G_i = G[\{v\} \cup W_i]$. Then $\text{mr}(F, G) = \sum_{i=1}^h \text{mr}(F, G_i - v) + \min\{2, \sum_{i=1}^h r_v(F, G_i)\}$.
34 For a graph $G = (V, E)$, the *degree* of $v \in V$, denoted $\text{deg } v$, is the number of vertices in V that
35 share an edge with v . A *leaf* vertex is a vertex of degree one. A *high degree* vertex is a vertex of
36 degree greater than or equal to 3.

37 **OBSERVATION 1.1.** *Let G be a graph, let v be a leaf vertex of a graph G , and let F be a field.*
38 *It is easy to see that $\text{mr}(F, G) - \text{mr}(F, G - v) \leq 1$, or equivalently, $M(F, G) \geq M(F, G - v)$.*

39 We consider two graph parameters that are related to the maximum nullity, namely the zero
40 forcing number and the path cover number. The zero forcing number of a graph is the minimum
41 number of black vertices initially needed to color all vertices black according to the color-change
42 rule. The *color-change rule* is defined as follows: if G is a graph with each vertex colored either
43 white or black, u is a black vertex of G and exactly one neighbor v of u is white, then change the
44 color of v to be black. Let S be a subset of V . The *derived coloring of S* is the result of coloring
45 every vertex in S black and vertex not in S white, and then applying the color-change rule until
46 no more changes are possible. A *zero forcing set* of G is a set $Z \subseteq V$ such that every vertex in the
47 derived coloring of Z is black. The *zero forcing number* of G is

$$48 \quad Z(G) = \min\{|Z| : Z \text{ is a zero forcing set of } G\}.$$

49 A zero forcing set of G , Z , is called a *minimum zero forcing set* of G if $|Z| = Z(G)$.

50 A *path* in G is a subgraph $H = (V', E')$ where $V' = \{u_1, \dots, u_k\}$ and
51 $E' = \{u_1u_2, u_2u_3, \dots, u_{k-1}u_k\}$. A *Hamiltonian path* of a graph G is a path that includes all the
52 vertices of G . A *path cover* of G is a set of vertex disjoint paths, each of which is an induced
53 subgraph of G , that contains all vertices of G . The *path cover number* of G is

$$54 \quad P(G) = \min\{|\mathcal{P}| : \mathcal{P} \text{ is a path cover of } G\}.$$

55 A path cover of G , \mathcal{P} , is called a *minimum path cover* of G if $|\mathcal{P}| = P(G)$.

56 The relationships between $M(F, G)$, $Z(G)$ and $P(G)$ for any graph G are discussed in papers
57 devoted to the study of minimum rank problems. For extensive surveys on minimum rank and
58 related problems, see [7] or [8].

59 **THEOREM 1.2.** [1] *For any graph G , $M(F, G) \leq Z(G)$.*

60 **THEOREM 1.3.** [9] *For any graph G , $P(G) \leq Z(G)$.*

61 In [2], examples of graphs are given to show that both $M(F, G) < P(G)$ and $P(G) < M(F, G)$
62 are possible. In particular, $M(F, G) < Z(G)$ is possible. However, all three parameters give equality
63 for graphs that are trees.

64 THEOREM 1.4. [1, 6, 10] For any tree T , $M(F, T) = P(T) = Z(T)$.

65 Following the notation in [4], we give the following definitions. Let $e = uv$ be an edge of G .
 66 Define G_e to be the graph obtained from G by inserting a new vertex w into V , deleting the edge
 67 e and inserting edges uw and wv . We say that the edge e has been *subdivided* and call G_e an
 68 *edge subdivision* of G . A *complete subdivision graph* \vec{G} is obtained from a graph G by subdividing
 69 every edge of G once. In [4] and [11], the authors investigate the maximum nullity and zero forcing
 70 number of graphs obtained by a finite number of edge subdivisions of a given graph and, among
 71 other results, establish the following two propositions about the effect of an edge subdivision on
 72 the zero forcing number and maximum nullity.

73 PROPOSITION 1.5. [4, 11] Let G be a graph and let e be an edge of G . Then

74
$$M(F, G) \leq M(F, G_e) \leq M(F, G) + 1 \quad \text{and} \quad Z(G) \leq Z(G_e) \leq Z(G) + 1.$$

75 PROPOSITION 1.6. [4, 11] Let G be a graph and let e be an edge of G incident to a vertex of
 76 degree at most 2. If $F \neq \mathbb{Z}_2$, then $M(F, G) = M(F, G_e)$ and $Z(G) = Z(G_e)$.
 77

78 The paper [4] concludes with a list of open questions, including the following two questions.

79 QUESTION 1.7. Let F be a field. Suppose G is a graph in which each vertex has degree at
 80 least 3 and H is a graph that has one less edge subdivision than \vec{G} . Is it always the case that
 81 $M(F, H) < M(F, \vec{G})$?

82 QUESTION 1.8. Is $M(F, \vec{G}) = Z(\vec{G})$ for every field F and graph G ?

83 In [4], the authors provide the following substantial result toward an affirmative answer to
 84 Question 1.8.

85 THEOREM 1.9. [4] If $G = (V, E)$ has a Hamiltonian path then $M(F, \vec{G}) = Z(\vec{G}) = m - n + 2$
 86 and $\text{mr}(F, \vec{G}) = 2n - 2$, where $n = |V|$ and $m = |E|$.

87 In Section 2 we provide additional evidence of an affirmative answer to Question 1.8, including
 88 establishing that $M(F, \vec{G}) = Z(\vec{G})$ if G does not have a cut-edge. In Section 3 we give an example
 89 that provides a negative answer to Question 1.7. We also present examples showing that there
 90 is an independent relationship between the change in maximum nullity and zero forcing number
 91 caused by a single edge subdivision in a graph G . In Section 4, we give bounds on the effect of a
 92 single edge subdivision on the path cover number and give conditions under which the path cover
 93 number is preserved. We also provide an example to show that $P(\vec{G})$ need not equal $Z(\vec{G})$ for an
 94 arbitrary graph G .

95 **2. Complete edge subdivision graphs.** In [4] it was shown that $M(F, \vec{G}) = Z(\vec{G})$ if G
 96 has a Hamiltonian path. In this section we establish $M(F, \vec{G}) = Z(\vec{G})$ for other conditions on G ,
 97 specifically for graphs G such that G is a cactus or has no cut-edge.

98 A *cactus* is a graph in which any two cycles share at most one vertex. We use Row's work on
 99 cacti to show that the zero forcing number and maximum nullity of a complete subdivision of any
 100 cactus is equal.

101 PROPOSITION 2.1. [12] Let G be a cactus in which each cycle has three vertices, an even

102 number of vertices, or a vertex which has only two neighbors. Then $M(G) = Z(G)$.

103 PROPOSITION 2.2. *If $G = (V, E)$ is a cactus, then $M(F, \widetilde{G}) = Z(\widetilde{G})$.*

104 *Proof.* Let $G = (V, E)$ be a cactus. We perform a complete subdivision on G . Notice then
 105 that \widetilde{G} is a cactus. Furthermore, each cycle in \widetilde{G} is even (and has a vertex of degree two). Thus
 106 $M(\widetilde{G}) = Z(\widetilde{G})$. If H is a cycle or tree, then $M(F, H) = M(H)$. Since cut-vertex reduction preserves
 107 field independence (see [7]), $M(F, \widetilde{G}) = Z(\widetilde{G})$ for every cactus G . \square

108 To prove that $M(F, \widetilde{G}) = Z(\widetilde{G})$ for every G that does not have a cut-edge, we first generalize
 109 the set of complete edge subdivision graphs.

110 DEFINITION 2.3. Let \mathcal{K} be the family of bipartite graphs $G = (V(G), E(G))$ such that there
 111 is a bipartition of the vertices $V(G) = X \dot{\cup} Y$ with $\deg x \leq 2$ for all $x \in X$.

112 Note that every path is in \mathcal{K} , and every even cycle is in \mathcal{K} . An odd cycle is not bipartite, so
 113 it is not in \mathcal{K} . If G is any connected bipartite graph, then the (unordered) pair of bipartition sets
 114 is uniquely determined. If $G \in \mathcal{K}$ and G has a high degree vertex, then the bipartition sets X and
 115 Y such that $V(G) = X \dot{\cup} Y$ and $\deg x \leq 2$ for all $x \in X$ are uniquely determined. When the sets
 116 X, Y such that $V(G) = X \dot{\cup} Y$ and $\deg x \leq 2$ for all $x \in X$ are not uniquely determined, we often
 117 make a choice, possibly subject to some additional condition(s). When X and Y are specified by
 118 uniqueness or by choice, we write $X(G)$ for X and $Y(G)$ for Y .

119 PROPOSITION 2.4. *A graph H is a complete subdivision graph of some graph G if and only if*
 120 *$H \in \mathcal{K}$, $C_4 \not\subseteq H$, and $\deg x = 2$ for every $x \in X(H)$.*

121 *Proof.* The forward direction is clear. For the converse, we reconstruct G from H . It is
 122 sufficient to do so for a connected graph, and then take the union of connected components, so
 123 assume H is connected. If H has no high degree vertex, then H is an even cycle or odd path (an
 124 even path is not allowed because one vertex in each bipartition set of such a path has degree one),
 125 and thus H is a complete subdivision graph. So assume H has a high degree vertex. For each
 126 $x \in X(H)$ with neighbors $y_1, y_2 \in Y(H)$, delete edges xy_1 and xy_2 and vertex x and add edge
 127 y_1y_2 . This method creates a graph G such that $H = \widetilde{G}$: G is a graph, since no duplicate edges are
 128 created (two vertices $x_1, x_2 \in X$ with the same neighbors $y_1, y_2 \in Y(G)$ would have created a C_4
 129 in H , which we expressly disallow). \square

130 CONJECTURE 2.5. *If $G \in \mathcal{K}$, then $M(F, G) = Z(G)$.*

131 By Proposition 2.4, every complete subdivision graph is in \mathcal{K} , so this conjecture generalizes a
 132 conjecture that $M(F, \widetilde{G}) = Z(\widetilde{G})$ for all graphs G .

133 Although this paper is primarily concerned with simple graphs, multigraphs are a useful tool.
 134 A *multigraph* $G = (V, E)$ is a general graph in which E is a multiset of two-element subsets of
 135 vertices. That is, a multigraph allows multiple copies of an edge vw (where $v \neq w$), but a loop vv
 136 is not permitted. For a field $F \neq \mathbb{Z}_2$, the *maximum nullity* of a multigraph G of order n over F ,
 137 denoted by $M(F, G)$, is the largest possible nullity over all matrices $A \in F^{n \times n}$ whose ij th entry
 138 a_{ij} (for $i \neq j$) is zero if i and j are not adjacent in G , is nonzero if ij is a single edge, and is any
 139 element of F if ij is a multiple edge. In the case that $F = \mathbb{Z}_2$ and ij is a multiple edge, a_{ij} is
 140 0 if the number of copies of edge ij is even and 1 if it is odd. If a multigraph does not have any

141 multiple edges then it is a (simple) graph. Observe that if G is a multigraph, then \widehat{G} is a (simple)
 142 graph and $\widehat{G} \in \mathcal{K}$.

143 The method by which we show $M(F, \widehat{G}) = Z(\widehat{G})$ for graphs without a cut-edge requires knowing
 144 that certain diagonal entries of a matrix are zero. A graph $G \in \mathcal{K}$ is *special* if there exists a matrix
 145 $A \in \mathcal{S}(G)$ such that

- 146 1. null $A = M(F, G)$.
- 147 2. If $x \in X(G)$, then $a_{xx} = 0$.

148 For a special graph G , a matrix $A \in \mathcal{S}(G)$ satisfying conditions (1) and (2) is *optimal* for G .

149 Let G be a graph and let $C = (V_C, E_C)$ be a cycle that is a subgraph of G . A *subdivided*
 150 *chordal path* of G is a path $P = (v_1, \dots, v_{2k+1})$ in G such that $v_1, v_{2k+1} \in V_C$, $\deg_G v_i = 2$ for
 151 $i = 2, 3, \dots, 2k$, and $v_i \notin V_C$ for $i = 2, 3, \dots, 2k$.

152 **THEOREM 2.6.** *Let G' be a graph in \mathcal{K} and let G be obtained from G' by removing a subdivided*
 153 *chordal path $P = (v_1, v_2, v_3)$ of G' between two vertices in $V(G)$. If $M(F, G) = Z(G)$ and G is*
 154 *special, then $M(F, G') = Z(G')$ and G' is special.*

155 *Proof.* Suppose that $M(F, G) = Z(G)$ and G is special. Let $Q = (v_1, u_2, \dots, u_{2k}, v_3)$ be another
 156 path that connects v_1 and v_3 . Since $G' \in \mathcal{K}$ and $v_1, v_3 \in Y(G')$, $\deg_G u_{2i} = \deg_{G'} u_{2i} = 2$ for
 157 $i = 1, \dots, k$. Let A be an optimal matrix for G , so the diagonal entries of A in the column vectors
 158 $\mathbf{a}_{u_{2i}}$ associated with vertices $u_{2i}, i = 1, \dots, k$ are all zero. Since the only vertices adjacent to u_2 are
 159 v_1 and u_3 , \mathbf{a}_{u_2} has nonzero entries exactly in rows v_1 and u_3 , and similarly, \mathbf{a}_{u_4} has nonzero entries
 160 exactly in rows u_3 and u_5 . We can take a linear combination of these two vectors to cancel the
 161 nonzero entry in row u_3 , to obtain a column vector with nonzero entries exactly in rows v_1, u_5 . We
 162 iterate this process with column vectors to obtain a column vector \mathbf{c} with non-zero entries in exactly
 163 rows v_1, v_3 . Let $A' = [a'_{ij}]$ be A with the extra column \mathbf{c} and extra row \mathbf{c}^T and zero as the new
 164 diagonal entry. We know $A' \in \mathcal{S}(G')$. Since G is an induced subgraph of G' , $\text{mr}(F, G) \leq \text{mr}(F, G')$.
 165 Since $\text{rank}(A') = \text{rank}(A)$, $\text{mr}(F, G) = \text{mr}(F, G')$. Hence, $M(F, G') = M(F, G) + 1$.

166 Since $a'_{xx} = 0$ for every $x \in X(G')$, G' is special. Note that $Z(G) + 1 = M(F, G) + 1 =$
 167 $M(F, G') \leq Z(G') \leq Z(G) + 1$. Hence, $Z(G') = M(F, G')$. \square

168 The *contraction* of edge $e = uv$ of G is obtained from G by identifying the vertices u and v ,
 169 deleting any loops that arise in this process. A set $R \subset V(G)$ is a *separating set* of a graph G
 170 if $G - R$ has more connected components than G does; in this case R is called an r -separating
 171 set where $r = |R|$. A 1-separating set is a cut-vertex, and cut-vertex reduction is a standard
 172 technique for computing minimum rank/maximum nullity. Van der Holst has established a 2-
 173 separating set reduction for computing maximum nullity using multigraphs. A 2-*separation* of G is
 174 a pair of subgraphs $(G_1(R), G_2(R))$ such that $V(G_1(R)) \cap V(G_2(R)) = R = \{r_1, r_2\}$, $V(G_1(R)) \cup$
 175 $V(G_2(R)) = V(G)$, $E(G_1(R)) \cap E(G_2(R)) = \emptyset$, and $E(G_1(R)) \cup E(G_2(R)) = E(G)$. We introduce
 176 some notation for the multigraphs needed for van der Holst's 2-separation theorem. For $i = 1, 2$,
 177 $H_i(R)$ is the graph or multigraph obtained from $G_i(R)$ by adding edge $r_1 r_2$. $H_i(R)$ is a (simple)
 178 graph if $r_1 r_2 \notin E(G_i(R))$; otherwise $H_i(R)$ is a multigraph having two edges between r_1 and r_2
 179 (with every other pair of vertices either nonadjacent or joined by exactly one edge). At most one
 180 of $H_1(R), H_2(R)$ has a multiple edge. For $i = 1, 2$, $\widehat{G}_i(R)$ is the multigraph obtained from $H_i(R)$

181 by contracting an edge r_1r_2 (note that van der Holst uses the notation $\overline{G}_i(R)$ for what we denote
 182 by $\widehat{G}_i(R)$, but $\overline{G}_i(R)$ may cause confusion with a complement).

183 THEOREM 2.7. [13] Let G be a (simple) graph, let $(G_1(R), G_2(R))$ be a 2-separation of G .
 184 Then

$$185 \quad M(F, G) = \max \left\{ \begin{array}{l} M(F, G_1(R)) + M(F, G_2(R)), \\ M(F, H_1(R)) + M(F, H_2(R)), \\ M(F, \widehat{G}_1(R)) + M(F, \widehat{G}_2(R)), \\ M(F, G_1(R) - r_1) + M(F, G_2(R) - r_1), \\ M(F, G_1(R) - r_2) + M(F, G_2(R) - r_2), \\ M(F, G_1(R) - R) + M(F, G_2(R) - R) \end{array} \right\} - 2.$$

186

187 LEMMA 2.8. Let G be a graph in \mathcal{K} and $(G_1(R), G_2(R))$ be a 2-separation of G . If $G_1(R)$ is an
 188 even path with endpoints r_1 and r_2 and $r_1r_2 \notin E(G)$, then $M(G) = M(F, H_1(R)) + M(F, H_2(R)) - 2$
 189 (or equivalently, $\text{mr}(G) = \text{mr}(F, H_1(R)) + \text{mr}(F, H_2(R))$) and $H_1(R), H_2(R) \in \mathcal{K}$.

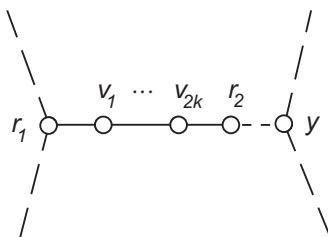


Fig. 2.1: Illustration for Lemma 2.8

190 *Proof.* Let $G_i = G_i(R), H_i = H_i(R), \widehat{G}_i = \widehat{G}_i(R), i = 1, 2$. Since $r_1r_2 \notin E(G)$, H_1 and H_2 are
 191 (simple) graphs, and it is clear that $H_1, H_2 \in \mathcal{K}$. To show $M(F, G) = M(F, H_1) + M(F, H_2) - 2$,
 192 by Theorem 2.7 it suffices to prove the following inequalities.

- 193 • $M(F, H_1) + M(F, H_2) \geq M(F, G_1) + M(F, G_2)$: Since G_1 is a path and H_1 is a cycle,
 194 $M(F, G_1) = M(F, H_1) - 1$. Since G_2 is obtained from H_2 by deleting the edge r_1r_2 ,
 195 $M(F, H_2) \geq M(F, G_2) - 1$. Hence,

$$196 \quad M(F, H_1) + M(F, H_2) \geq M(F, G_1) + 1 + M(F, G_2) - 1 \\ 197 \quad = M(F, G_1) + M(F, G_2).$$

- 198 • $M(F, H_1) + M(F, H_2) \geq M(F, \widehat{G}_1) + M(F, \widehat{G}_2)$: Since \widehat{G}_1 is a cycle, $M(F, \widehat{G}_1) = 2 =$
 199 $M(F, H_1)$. If $\deg r_2 = 1$, then r_2 is a leaf of H_2 , so by Observation 1.1, $M(H_2) \geq M(H_2 -$
 200 $r_2) = M(\widehat{G}_2)$. So assume $\deg r_2 = 2$ and let $r_2y \in E(G)$ and $y \neq v_{2k}$. Note that $r_1y \notin E(G)$
 201 since r_1, y are in the same bipartition set and $r_1 \neq y$. Observe that $H_2 = (\widehat{G}_2)_e$ where
 202 $e = r_2y$. By Proposition 1.5, $M(F, \widehat{G}_2) \leq M(F, H_2)$, and the desired inequality follows.
- 203 • For $i = 1, 2$, $M(F, H_1) + M(F, H_2) \geq M(F, G_1 - r_i) + M(F, G_2 - r_i)$: Observe that $M(F, G_1 -$
 204 $r_i) = 1 = M(F, H_1) - 1$. Since $G_2 - r_i = H_2 - r_i$, $M(F, H_2) \geq M(F, H_2 - r_i) - 1 =$
 205 $M(F, G_2 - r_i) - 1$, and the desired inequality follows.

206 • $M(F, H_1) + M(F, H_2) \geq M(F, G_1 - R) + M(F, G_2 - R)$: Observe that $M(F, G_1 - R) = 1 =$
207 $M(F, H_1) - 1$. Since $G_2 - r_1 = H_2 - r_1$, $M(F, H_2) \geq M(F, H_2 - r_1) - 1 = M(F, G_2 - r_1) - 1$.
208 Since r_2 is a leaf vertex of $G_2 - r_1$, $M(F, G_2 - R) \leq M(F, G_2 - r_1)$, and thus $M(F, H_2) \geq$
209 $M(F, G_2 - R) - 1$. Hence the desired inequality follows.

□

210 If $V(L) \subset V(G)$ and $A = [a_{uv}] \in \mathcal{S}(L)$, then the *embedding* $\tilde{A} = [\tilde{a}_{uv}]$ of A for G is the $|G| \times |G|$
211 matrix defined by $\tilde{a}_{uv} = a_{uv}$ if $u, v \in V(L)$ and 0 otherwise. A *decomposition* of a graph G is a
212 pair of graphs (L_1, L_2) such that

- 213 1. $V(G) = V(L_1) \cup V(L_2)$,
- 214 2. $|V(L_1) \cap V(L_2)| = 2$.
- 215 3. $|E(L_1) \cap E(L_2)| = 0$ or 1.
- 216 4. $E(G) = (E(G_1) \cup E(G_2)) \setminus (E(G_1) \cap E(G_2))$.

217 Every 2-separation $(G_1(R), G_2(R))$ of G is a decomposition of G , but not conversely. A decom-
218 position (L_1, L_2) of a graph $G \in \mathcal{K}$ is a *special decomposition* if it satisfies all of the following
219 conditions:

- 220 1. $L_1, L_2 \in \mathcal{K}$.
- 221 2. $\text{mr}(F, G) = \text{mr}(F, L_1) + \text{mr}(F, L_2)$. Equivalently, $M(F, G) = M(F, L_1) + M(F, L_2) - 2$.
- 222 3. For $r \in V(L_1) \cap V(L_2)$, either $r \in Y(L_1) \cap Y(L_2)$ or $r \in X(L_1) \cap X(L_2)$.

223 LEMMA 2.9. *Suppose (L_1, L_2) is a decomposition of a graph G . If $A_k \in \mathcal{S}(L_k), k = 1, 2$, then*
224 *there exists $\alpha \in F$ such that $A = A_1 + \alpha A_2 \in \mathcal{S}(G)$. If $\text{mr}(F, G) = \text{mr}(F, L_1) + \text{mr}(F, L_2)$ and*
225 *$\text{rank } A_k = \text{mr}(F, L_k)$, for $k = 1, 2$, then $\text{rank } A = \text{mr}(F, G)$ (for this α). If (L_1, L_2) is a special*
226 *decomposition of $G \in \mathcal{K}$ and L_1 and L_2 are special, then G is special.*

227 *Proof.* If $E(L_1) \cap E(L_2) = \emptyset$, choose $\alpha = 1$. If $E(L_1) \cap E(L_2) = \{zw\}$ choose $\alpha = -a_{zw}^{(1)}/a_{zw}^{(2)}$
228 where $A_k = [a_{ij}^{(k)}], k = 1, 2$. Then $A \in \mathcal{S}(G)$ and $\text{rank } A \leq \text{rank } A_1 + \text{rank } A_2$, so $\text{mr}(F, G) =$
229 $\text{mr}(F, L_1) + \text{mr}(F, L_2)$ implies $\text{rank } A = \text{mr}(F, G)$.

230 Now suppose (L_1, L_2) is a special decomposition of G and L_1, L_2 are special. Construct
231 $A = [a_{ij}]$ as previously using optimal A_k for $L_k, k = 1, 2$. We claim A is optimal for G and thus G
232 is special. It is already established that $\text{null } A = M(F, G)$ and since for $r \in V(L_1) \cap V(L_2)$, either
233 $r \in Y(L_1) \cap Y(L_2)$ or $r \in X(L_1) \cap X(L_2)$, the required zeros on the diagonal are preserved. □

234 THEOREM 2.10. *Let G' be a graph in \mathcal{K} and let G be obtained from G' by removing a subdivided*
235 *chordal path $P = (v_1, \dots, v_{2k+1})$ of G' between two vertices in $V(G)$. If $M(F, G) = Z(G)$ and G is*
236 *special, then $M(F, G') = Z(G')$ and G' is special.*

237 *Proof.* Theorem 2.6 covers the case $k = 1$, so assume $k \geq 2$. Let $r_1 = v_1, r_2 = v_{2k}$, and
238 $R = \{r_1, r_2\}$. Let $G_1(R) = (r_1, v_2, \dots, v_{2k-1}, r_2)$ be a path in G and $G_2(R) = G - \{v_2, \dots, v_{2k-1}\}$,
239 so $(G_1(R), G_2(R))$ is a 2-separation of G' ; this is illustrated in Figure 2.2. Since $r_1 r_2 \notin E(G)$, by
240 Lemma 2.8 $\text{mr}(F, G') = \text{mr}(F, G_1) + \text{mr}(F, G_2)$. Thus (H_1, H_2) is a special decomposition of G ,

241 and so by Lemma 2.9, G' is special. Furthermore, we have

$$\begin{aligned}
 242 \quad M(F, G') &= M(F, C_{2k}) + M(F, H_2) - 2 \\
 243 \quad &= M(F, H_2) \\
 244 \quad &= Z(H_2) \\
 245 \quad &= Z(G')
 \end{aligned}$$

246 by subdividing edges incident to a vertex of degree two. \square

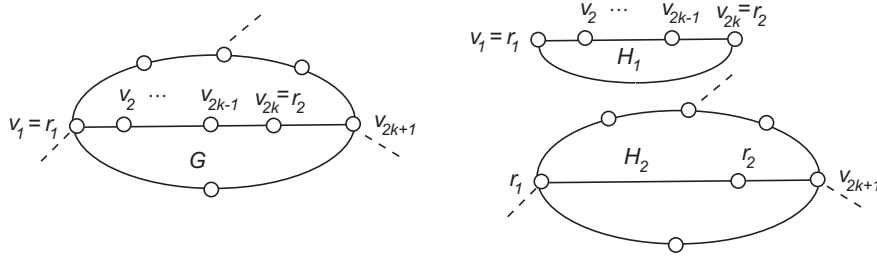


Fig. 2.2: Illustration for Theorem 2.10

247 LEMMA 2.11. Let G be a graph. If cycles C_1, C_2 of G intersect in $k > 1$ paths, then there is
 248 a cycle C_3 of G such that C_1 and C_3 intersect in exactly one path and that path has at least two
 vertices.

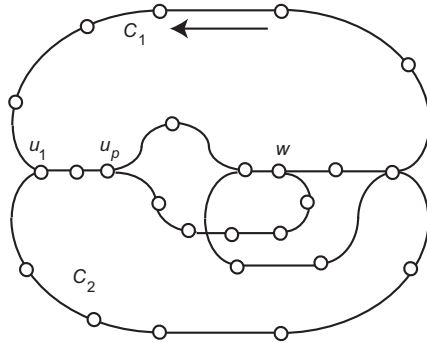


Fig. 2.3: Illustration for Lemma 2.11

249 *Proof.* Choose an orientation for C_1 . With this orientation, each vertex $v \in C_1$ has a prede-
 250 cessor and a successor. Let $P = (u_1, \dots, u_p)$ be a path in $C_1 \cap C_2$ that conforms to the orienta-
 251 tion and that is maximal in the sense that the predecessor of u_1 in C_1 is not in C_2 and the successor
 252 of u_p in C_1 is not in C_2 . Impose the orientation of P on C_2 . Let w be the first vertex in C_2 after
 253 u_p that is also in C_1 (see Figure 2.3). Let P_i be the path in C_i connecting u_p and w (following
 254 the orientation of C_i). Define C_3 to be the cycle enclosed by P_1 and P_2 . Then C_1 intersects C_3 in
 255 exactly P_1 , and $u_p, w \in V(P_1)$. \square

257 LEMMA 2.12. Let G be a graph in \mathcal{K} . Suppose cycles C_1, C_2 of G intersect in exactly one

258 path P and none of the the interior vertices of P is a cut-vertex. Then G contains a subdivided
 259 chordal path of some cycle.

260 *Proof.* Let $P = (v_1, \dots, v_m)$. The proof is by strong induction on the number ℓ of high degree
 261 vertices among the interior vertices $v_i, i = 2, \dots, m - 1$. If $\ell = 0$, then P is a subdivided chordal
 262 path of G . So assume that if two cycles of G intersect in exactly one path that has $q < \ell$ high
 263 degree interior vertices, then G contains a subdivided chordal path, and suppose P has ℓ high
 264 degree interior vertices. Let v_t be a high degree interior vertex. Since v_t is not a cut-vertex, there
 265 exists a path Q_1 that connects v_t to some other vertex $y \in V(C_1)$ (if necessary reverse the names
 266 of C_1 and C_2) and such that $V(Q) \cap V(C_1) = \{v_t, y\}$. We consider two cases depending on whether
 267 or not y is on P , as illustrated in Figure 2.4.

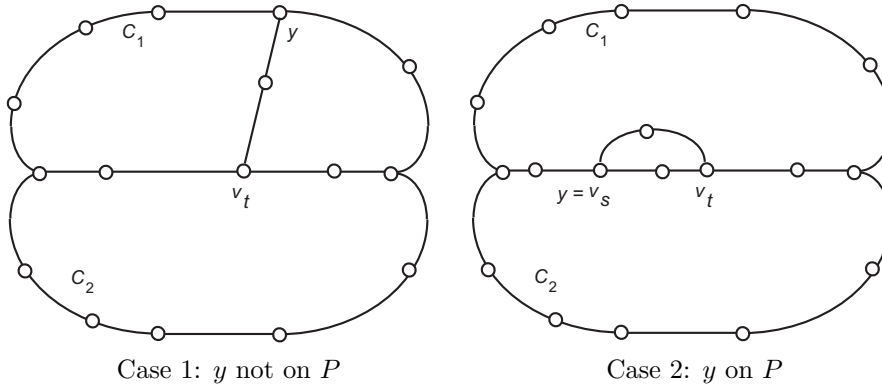


Fig. 2.4: Illustration for Lemma 2.12

268 **Case 1.** $y \notin V(P)$: Let Q_2 be the path in C_1 between y and v_t that does not contain v_m .
 269 Then $(v_1, v_2, \dots, v_t), Q_1$, and Q_2 form a cycle C_3 that intersects C_2 in path $P' = (v_1, v_2, \dots, v_t)$.
 270 Since P' has fewer high degree interior vertices, G contains a subdivided chordal path.

271 **Case 2.** $y \in V(P)$: Let P' be the subpath of P between v_t and $v_s = y$, so P' and Q_1 form
 272 a cycle C_3 that intersects C_2 in path $P' = (v_1, v_2, \dots, v_t)$. Since P' has fewer high degree interior
 273 vertices, G contains a subdivided chordal path. \square

274 **PROPOSITION 2.13.** Suppose G has a cut-vertex v . For $i = 1, \dots, h$, let $W_i \subseteq V(G)$ be
 275 the vertices of the i th component of $G - v$ and let G_i be the subgraph induced by $\{v\} \cup W_i$. If
 276 $r_v(F, G_1) = 0$, then

$$277 \quad \text{mr}(F, G) = \text{mr}(F, G_1) + \text{mr}(F, G - W_1).$$

278
 279 *Proof.* By cut-vertex reduction $\text{mr}(F, G) = \sum_{i=1}^h \text{mr}(F, G_i - v) + \min\{2, \sum_{i=1}^k r_v(F, G_i)\}$.
 280 Since $r_v(F, G_1) = 0$, $\text{mr}(F, G) = \text{mr}(F, G_1 - v) + \sum_{i=2}^k \text{mr}(G_i - v) + \min\{2, \sum_{i=2}^k r_v(F, G_i)\} =$
 281 $\text{mr}(F, G_1) + \text{mr}(F, G - W_1)$. \square

282 **PROPOSITION 2.14.** Let $G = (V, E)$ be a graph containing a cycle $C_k, k \geq 3$. If C_k contains
 283 exactly one high degree vertex, v , then $\text{mr}(F, G) = \text{mr}(F, C_k) + \text{mr}(F, G[V \setminus V(C_k - v)])$, or

284 equivalently, $M(F, G) = M(F, G[V \setminus V(C_k - v)]) + 1$. Furthermore, $Z(G) \leq Z(G[V \setminus V(C_k - v)]) + 1$.
 285 If $M(F, G[V \setminus V(C_k - v)]) = Z(G[V \setminus V(C_k - v)])$, then $M(F, G) = Z(G)$.

286 *Proof.* From Proposition 2.13, $\text{mr}(F, G) = \text{mr}(F, C_k) + \text{mr}(F, G[V \setminus V(C_k - v)])$, so

$$287 \quad |G| - M(F, G) = (k - 2) + |G| - (k - 1) - M(F, G[V \setminus V(C_k - v)]),$$

288 or $M(F, G) = M(F, G[V \setminus V(C_k - v)]) + 1$. To establish $Z(F, G) \leq Z(F, G[V \setminus V(C_k - v)]) + 1$,
 289 we exhibit a zero forcing set of order $Z(G[V \setminus V(C_k - v)]) + 1$. Let B be a minimum zero forcing
 290 set for $G[V \setminus V(C_k - v)]$, and let x be a neighbor of v in C_k . Then $B \cup \{x\}$ is a zero forcing
 291 set for G . If $M(F, G[V \setminus V(C_k - v)]) = Z(G[V \setminus V(C_k - v)])$, then $Z(F, G[V \setminus V(C_k - v)]) + 1 =$
 292 $M(F, G[V \setminus V(C_k - v)]) + 1 = M(F, G) \leq Z(G) \leq Z(F, G[V \setminus V(C_k - v)]) + 1$ so we have equality
 293 throughout. \square

294 **REMARK 2.15.** Every cycle on an even number of vertices is special. Specifically for C_{2k} , the
 295 adjacency matrix is optimal if k is even, and if k is odd, an optimal matrix is $A = [a_{ij}] \in \mathcal{S}(F, C_{2k})$
 296 where $a_{i, i+1} = 1, i = 1, \dots, 2k - 1$ and $a_{1, 2k} = -1$ (this is valid over every field F).

297 **THEOREM 2.16.** *If G is a graph in \mathcal{K} that does not have a cut-edge, then G is special and*
 298 $M(F, G) = Z(G)$.

299 *Proof.* First we prove the following two statements by induction on the number of cycles for a
 300 connected graph $G \in \mathcal{K}$ that does not have a cut-edge.

- 301 (A) G is a cycle or G contains a cycle with exactly one high degree vertex or G has a subdivided
 302 chordal path.
 303 (B) G is special and $M(F, G) = Z(G)$.

304 Both (A) and (B) are clear for all cycles in \mathcal{K} , and thus for all connected graphs $G \in \mathcal{K}$ such that
 305 G has no cut edge and at most one cycle. Assume both (A) and (B) are true for all connected
 306 graphs G having no cut-edge and at most $k \geq 1$ cycles. Let G' be a connected graph in \mathcal{K} that
 307 does not have a cut-edge and has $k + 1$ cycles.

308 **Case 1.** G' has a cut-vertex: If G' has a cycle with exactly one high degree vertex, then (A)
 309 is true and (B) follows from Proposition 2.14 and the induction hypothesis. If G' does not have a
 310 cycle with exactly one high degree vertex, then consider the blocks G_1, \dots, G_b of G' . Since G' has
 311 a cut-vertex and no cut-edge, $b > 1$ and each block contains a cycle. Thus G_1 has fewer than $k + 1$
 312 cycles. Since G' does not contain a cycle with exactly one high degree vertex, G_1 is not a cycle
 313 and does not contain a cycle with at most one high degree vertex. By the induction hypothesis, G_1
 314 contains a subdivided chordal path. Since G_1 is a block of G' , G' contains a subdivided chordal
 315 path. Thus (A) is true, and (B) follows from Theorem 2.10 and the induction hypothesis.

316 **Case 2.** G' does not have a cut-vertex: Since G' has more than one cycle and G' does not
 317 have a cut-vertex, G' has two cycles that intersect in one path on at least two vertices or that
 318 intersect in more than one path. Then by Lemma 2.11, G' has two cycles that intersect in one
 319 path on at least two vertices. Since $G' \in \mathcal{K}$, by Lemma 2.12, G' has a subdivided chordal path, so
 320 (A) is true. Statement (B) then follows from Theorem 2.10 and the induction hypothesis.

321 Since the parameters M and Z sum over connected components, the result for every $G \in \mathcal{K}$
 322 that does not have a cut-edge follows from the result for connected graphs. \square

323 Since \mathcal{K} includes all complete subdivision graphs of simple graphs and multigraphs, we have
 324 the following corollary.

325 **COROLLARY 2.17.** *If G is a simple graph or multigraph that does not have a cut-edge, then*
 326 $M(F, \overline{\overline{G}}) = Z(\overline{\overline{G}})$.

327 **3. Zero forcing number and maximum nullity of edge subdivision graphs.** Recall
 328 that in [4], the authors ask the following question: Suppose G is any graph in which each vertex has
 329 degree at least 3 and H is a graph that has one less edge subdivision than $\overline{\overline{G}}$. Is it always the case
 330 that $M(H) < M(\overline{\overline{G}})$? The graphs G and H given in Example 3.1 below provide a negative answer
 331 to this question. We use the following well known observation: If $G = \cup_{i=1}^h G_i$, $G_i = (V_i, E_i)$, and
 332 (F is infinite or $E_i \cap E_j = \emptyset$ for $i \neq j$), then $\text{mr}(F, G) \leq \sum_{i=1}^h \text{mr}(F, G_i)$.

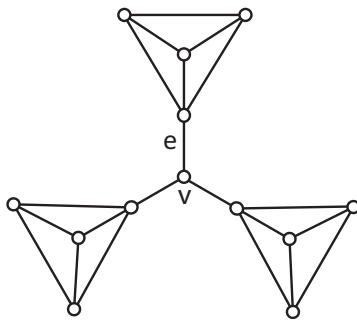


Fig. 3.1: A graph G that provides negative answer to Question 1.7.

333 **EXAMPLE 3.1.** Let G be the graph in Figure 3.1, which is the connected union of three copies
 334 of K_4 (the complete graph on four vertices) and the star graph $K_{1,3}$, with these graphs having no
 335 common edges and the copies of K_4 disjoint; the edge e is one of the edges of the $K_{1,3}$. Let H be
 336 the graph that has one less edge subdivision than $\overline{\overline{G}}$ where the edge e in G is the only unsubdivided
 337 edge. The graphs $\overline{\overline{G}}$ and H are shown in Figure 3.2.

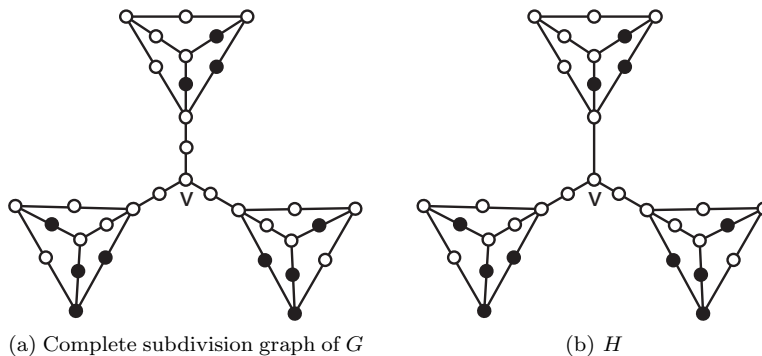


Fig. 3.2: The complete subdivision graph of G and the graph H .

338 Since K_4 has a Hamiltonian path, by Theorem 1.9, $\text{mr}(F, \overline{K_4}) = 6$. The subgraph $K_{1,3}$ is a
 339 tree. Hence, by Theorem 1.4, $\text{M}(F, \overline{K_{1,3}}) = \text{P}(\overline{K_{1,3}}) = 2$, so $\text{mr}(F, \overline{K_{1,3}}) = 5$. Let L be the graph
 340 obtained from $K_{1,3}$ by subdividing all but one edge; again by Theorem 1.4, $\text{M}(L) = \text{P}(L) = 2$ and
 341 so $\text{mr}(F, L) = 4$. Since \overline{G} is a union of three copies of $\overline{K_4}$ and one copy of $\overline{K_{1,3}}$,

$$342 \quad \text{mr}(F, \overline{G}) \leq 3 \text{mr}(F, \overline{K_4}) + \text{mr}(F, \overline{K_{1,3}}) = 23 \text{ and } \text{M}(F, \overline{G}) \geq 34 - 23 = 11.$$

343 Similarly, H is a union of three copies of $\overline{K_4}$ and one copy of L so

$$344 \quad \text{mr}(F, H) \leq 3 \text{mr}(F, \overline{K_4}) + \text{mr}(F, L) = 22 \text{ and } \text{M}(F, H) \geq 33 - 22 = 11.$$

345 Furthermore, zero forcing sets of order 11 for both \overline{G} and H are exhibited in Figure 3.2. Therefore,
 346 $\text{M}(F, H) = \text{Z}(H) = \text{M}(F, \overline{G}) = \text{Z}(\overline{G}) = 11$.

347 Given that we conjecture $\text{M}(F, \overline{G}) = \text{Z}(\overline{G})$ for every field F and graph G , one might be
 348 tempted to think that subdividing an edge cannot increase the difference $\text{Z}(G) - \text{M}(F, G)$. The
 349 next example shows that this is not the case. In fact, $\text{M}(F, G) = \text{Z}(G)$ does not necessarily imply
 350 $\text{M}(F, G_e) = \text{Z}(G_e)$.

351 **EXAMPLE 3.2.** The pentasun H_5 is a five cycle with a degree one neighbor attached to each
 352 cycle vertex, shown in Figure 3.3a. The graph G in Figure 3.3b is obtained from H_5 by adding
 two degree one neighbors of u . We show that $\text{M}(F, G) = \text{Z}(G)$ but $\text{M}(F, G_e) < \text{Z}(G_e)$.

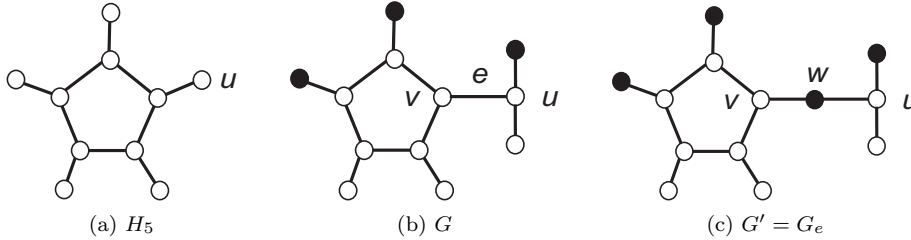


Fig. 3.3: The graphs for Example 3.2

353
 354 It is well known that $\text{M}(F, H_5) = 2$, $\text{M}(F, H_5 - u) = 2$, $\text{Z}(H_5) = 3$, and $\text{Z}(H_5 - u) = 2$, where
 355 u is a vertex of degree one in H_5 . Note the labeled edge $e = uv$; the result $G' := G_e$ of subdividing
 356 edge e is shown in Figure 3.3c.

357 The maximum nullity of G and G' can be obtained by performing cut-vertex reduction using
 358 vertex v . Let W_1 (respectively, W'_1) be the vertices in the component of $G - v$ (respectively, G')
 359 containing u and let W_2 (respectively, W'_2) be the vertices of the other component. For $i = 1, 2$, let
 360 $G_i = G[W_i \cup \{v\}]$ and $G'_i = G'[W'_i \cup \{v\}]$. So, $\text{mr}(F, G_1) = 2$, $\text{mr}(F, G[W_1]) = 2$, $\text{mr}(F, G_2) = 7$,
 361 $\text{mr}(F, G[W_2]) = 6$, $\text{mr}(F, G'_1) = 3$, $\text{mr}(F, G'[W'_1]) = 2$, $\text{mr}(F, G'_2) = 7$, and $\text{mr}(F, G'[W'_2]) = 6$.
 362 Thus,

$$363 \quad \text{mr}(F, G) = \sum_{i=1}^2 \text{mr}(F, G[W_i]) + \min\{2, \sum_{i=1}^2 r_v(F, G_i)\} = 9 \text{ so } \text{M}(F, G) = 12 - 9 = 3$$

364 and

$$365 \text{mr}(F, G') = \sum_{i=1}^2 \text{mr}(F, G'[W'_i]) + \min\{2, \sum_{i=1}^2 r_v(F, G'_i)\} = 10 \text{ so } M(F, G_e) = M(F, G') = 13 - 10 = 3.$$

366 Zero forcing sets of size 3 for G and 4 for G_e are exhibited in Figures 3.3b and 3.3c, and it is not
 367 difficult to see that no smaller sets can force. Thus $M(F, G) = Z(G) = 3$ and $M(F, G_e) = 3 <$
 368 $Z(G_e) = 4$. Zero forcing number and maximum nullity can also be computed by the minimum
 369 rank software [5].

370 It is easy two see that there is no relationship between the change in maximum nullity and
 371 the change in zero forcing number of G and G_e . In Example 3.2 edge subdivision increased zero
 372 forcing number but not maximum nullity. Subdividing any cycle edge of the pentasun H_5 increases
 373 maximum nullity but not zero forcing number (this follows from Proposition 2.1).

374 4. Path cover number of edge subdivision graphs.

375 In this section we investigate the effects of edge subdivisions on the path cover number.

376 PROPOSITION 4.1. *Let G be a graph and e an edge of G . Then*

$$377 P(G) \leq P(G_e) \leq P(G) + 1.$$

378 *If there exists a minimum path cover \mathcal{P} of G such that e is on a path in \mathcal{P} , then $P(G_e) = P(G)$.*

379 *Proof.* Let $e = uv$ and let w be the new vertex in G_e that is adjacent to u and v . We first
 380 prove the upper bounds. Let $\mathcal{P} = \{P_1, \dots, P_k\}$ be a minimum path cover of G . If e is in a path
 381 $Q = P_i$ for some $i = 1 \dots k$, then $(\mathcal{P} \setminus \{Q\}) \cup \{Q_e\}$ is a path cover of G_e , and so $P(G_e) \leq P(G)$. If
 382 e is not in any P_i , then $\mathcal{P} \cup \{w\}$ is a path cover of G_e . In either case, $P(G_e) \leq P(G) + 1$.

383 To prove the lower bound on $P(G_e)$, let $\mathcal{P} = \{P_1, \dots, P_k\}$ be a minimum path cover of G_e .
 384 Then $w \in P_i$ for some i . If $\{w\} = P_i$, then $\mathcal{P} \setminus \{P_i\}$ is a path cover of G . If the edges uw and
 385 vw are in P_i , define P'_i to be the path obtained from P_i by removing uw and vw , and then adding
 386 the edge uv . Then $(\mathcal{P} \setminus \{P_i\}) \cup \{P'_i\}$ is a path cover of G . If w is an endpoint of $P_i \neq \{w\}$, define
 387 P'_i to be the path P_i with w removed. Then $(\mathcal{P} \setminus \{P_i\}) \cup \{P'_i\}$ is a path cover of G . In all cases,
 388 $P(G) \leq P(G_e)$. \square

389 PROPOSITION 4.2. *Let G be a graph and let e be an edge of G . If e is incident to a vertex of
 390 degree at most 2, then $P(G_e) = P(G)$.*

391 *Proof.* By Proposition 4.1, $P(G) \leq P(G_e)$. Now it remains to show that $P(G_e) \leq P(G)$. Let
 392 $e = uv$ and let w be the new vertex that is adjacent to u and v in G_e . Without loss of generality,
 393 let $\deg u \leq 2$. Let $\mathcal{P} = \{P_1, \dots, P_k\}$ be a minimum path cover of G . If e is on some path P_i in \mathcal{P} ,
 394 then by Proposition 4.1, $P(G) = P(G_e)$. If e is not in any P_i , then u is the endpoint of some path
 395 in \mathcal{P} . Without loss of generality, say u is in P_1 , then let P'_1 be the path obtained by adding w to
 396 P_1 . Then $(\mathcal{P} \setminus \{P_1\}) \cup \{P'_1\}$ is a path cover of G_e . In either case, $P(G_e) \leq P(G)$. \square

397 It is conjectured that for all graphs G , $M(F, \vec{G}) = Z(\vec{G})$. The following is an example of a
 398 graph G with $P(\vec{G}) < Z(\vec{G})$.

399 EXAMPLE 4.3. Let G be the graph pictured in Figure 4.1, called a double triangle. Since G
 400 contains a Hamiltonian path, by Theorem 1.9, $Z(\overline{G}) = M(F, \overline{G}) = 3$. However, $P(\overline{G}) = 2$ because
 \overline{G} is not a path and a path cover of order 2 is exhibited in Figure 4.1.

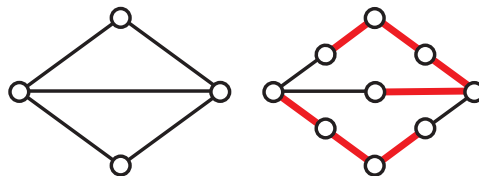


Fig. 4.1: A double triangle and its complete subdivision graph.

401

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